



PATENT APPLICATION NO. 09/782,941
DOCKET NO. T9089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eugene F. Rock
SERIAL NO.: 09/782,941
FILED: February 14, 2001
FOR: COAXIAL HELICOPTER
ART UNIT:
EXAMINER:
DOCKET NO.: T9089

**CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

Judy Anderson
Judy Anderson

June 5, 2001
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b)(1), within three months of the filing date of the application or before a first office action, and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.



Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 5th day of June, 2001.

Respectfully submitted,

Clifton W. Thompson
Attorney for Applicant
Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

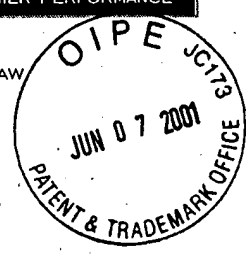
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SALT LAKE AREA OFFICE
8180 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070-0562
801.566.6633
801.566.0750 FAX
PATLAW@TNW.COM
WWW.TNW.COM

U.S. MAILING ADDRESS
P.O. Box 1219
SANDY, UTAH 84091-1219

LAS VEGAS AREA OFFICE
OF COUNSEL: NEIL J. BELLER †
7408 WEST SAHARA
LAS VEGAS, NEVADA 89117
702.368.7767
† ADMITTED IN NEVADA

VAUGHN W. NORTH*
M. WAYNE WESTERN*
CLIFTON W. THOMPSON*
GARRON M. HOBSON*
PETER M. DE JONGE*
WEILI CHENG, PHD.*
DAVID R. MCKINNEY, PE.*
STEVE M. PERRY*
GARY P. OAKESON*
DAVID W. OSBORNE*

CALVIN E. THORPE
(1939-1999)

*REGISTERED PATENT ATTORNEYS

JASON R. JONES
TECHNICAL CONSULTANT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§1.56 and 1.97 is an
Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§
1.97 and 1.98:

- ☒ Form PTO-1449 list of 30 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the
possession, custody, control or availability of anyone designated in 37 C.F.R. §
1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and
as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and
unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative
of an enclosed reference.
- ☐ Statement that certain listed references not enclosed were previously cited by or
submitted to the Office in the identified prior application which is relied upon for
an earlier filing date under 35 U.S.C. § 120.



In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- ___ Promptness Certification.
- ___ Check No. _____ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- ___ Petition for Consideration and Check No. ____ in the amount of \$(amount in § 1.17(i)(1)) constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 5th day of June, 2001.

Respectfully submitted,

A handwritten signature in cursive script that reads "Clifton W. Thompson".

Clifton W. Thompson
Customer No. 20551
Attorney for Applicant
Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, UT 84091-1219
Telephone (801) 566-6633

CWT:ja